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#### Application Number 09/606.730 TRANSMITTAL **Filing Date FORM First Named Inventor** Paul C. Wilson Group Art Unit (to be used for all correspondence after initial filing) 2754 Not Yet Assigned Center 2100 **Examiner Name** 91 EMC2-048PUS Attorney Docket Number Total Number of Pages in This Submission **ENCLOSURES** (check all that apply) After Allowance Communication **Assignment Papers** Fee Transmittal Form to Group (for an Application) Appeal Communication to Board Fee Attached Drawing(s) of Appeals and Interferences Licensing-related Papers Appeal Communication to Group Amendment / Reply (Appeal Notice, Brief, Reply Brief) Petition After Final **Proprietary Information** Petition to Convert to a Affidavits/declaration(s) **Provisional Application** Status Letter Power of Attorney, Revocation Change of Correspondence Address Other Enclosure(s) (please Extension of Time Request identify below 7.2 Terminal Disclaimer Return-receipt postcard **Express Abandonment Request** OCT 1 0 20 D2 Request for Refund PTO 1449 Form 6 Cited References Technology Center 2600 Information Disclosure Statement CD, Number of CD(s) Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts In the event a petition for extension of time is required by this paper and not under 37 CFR 1.52 or 1.53 otherwise provided, such petition is hereby made and authorization is provided herewith to charge deposit account No. 50-0845 for the cost of such extension. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Richard M. Sharkansky Firm 25,800 Reg. No. Daly, Crowley & Mofford, LLP Individual name Signature October 3, 2002 Date CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: October 3, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Date

October 3, 2002

Tanya Blount

Typed or printed name

Signature







#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Paul C. Wilson et al.

Application No.:

09/606,730

Filed:

June 29, 2000

Entitled:

**DATA STORAGE SYSTEM HAVING** 

POINT-TO-POINT CONFIGURATION

Docket No.:

EMC2-048PUS

**RECEIVED** 

OCT 1 0 2002

Technology Center 2600

Certificate of Mailing (37 C.F.R. 1.8(a))

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Date of Signature and Mail Deposit

By: Anya Bloum RECEIVED

Group Art Unit: 2754

Examiner: Not Yet Assigned

OCT 1 5 2002

Technology Center 2100

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Washington, DC 20231

Dear Sir:

It is desired to cite for the record in this application the enclosed documents listed on the attached copy of PTO Form #1449. The paragraph(s) marked below are applicable to this Information Disclosure Statement.

Application No.: 09/606,730 Filed: June 29, 2000

Attorney Docket No.: EMC2-048PUS

[ ] (1) The enclosed Information Disclosure Statement is being filed: within
three months of the filing date; or within three months of the entry of the national stage
of the above-identified application; or before the mailing of a first Office Action on the
merits; or before the mailing of a first Office Action after the filing of a request for
continued examination under 37 C.F.R. §1.114. Accordingly, Applicant(s) believes
that no fee or statement is required.
[ ] (1a) Applicant(s) believe the enclosed Information Disclosure Statement is
entitled to the benefit of 37 C.F.R. § 1.97 (b) (3). Accordingly, Applicant(s) believe
that no fee or statement is required.
[ ] (1b) Pursuant to 37 C.F.R. § 1.97(c), the enclosed Information Disclosure
Statement is being filed before the mailing date of a final action or a notice of
allowance and is accompanied by:
[ ] a statement under 37 C.F.R. § 1.97(e); [ ] the fee set forth in § 1.17(p).
PETITION UNDER 37 C.F.R. § 1.97(d)
[ ] (2) Pursuant to 37 C.F.R. § 1.97(d), Applicant(s) hereby petition the
Assistant Commissioner to consider the attached Information Disclosure Statement.
Applicant(s) state that the issue fee has not been paid and that a statement under 37
C.F.R. § 1.97(e) is provided herein, along with the petition fee of \$180.00 required
under 37 C F R & 1 17(i)

Application No.: 09/606,730 Filed: June 29, 2000

Attorney Docket No.: EMC2-048PUS

### STATEMENT UNDER 37 C.F.R. § 1.97(e) (1)

[X] (3) The undersigned hereby states that each item of information contained in the attached Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application mailed not more than three months prior to the filing of the accompanying Information Disclosure Statement.

# STATEMENT UNDER 37 C.F.R. § 1.97 (e) (2)

[ ] (4) The undersigned hereby states that no item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual having a duty of disclosure as set forth in 37 C.F.R. § 1.56 (c) more than three months prior to the filing of the accompanying Information Disclosure Statement.

The filing of this Information Disclosure Statement is not a representation by the undersigned as to personal knowledge of the contents of every word or phrase of the material enclosed or that reliance on other suitably trained professionals has not been made.

If a search report of a searching agency is enclosed identifying the nature of the relevance of each document, such a designation is deemed to satisfy Rule 98(a) (3) even if in a foreign language, since the few terms of relevance therein are deemed of universal cognizance. However, Applicant(s) does not necessarily adopt the position reflected by that report.

Application No.: 09/606,730

Filed: June 29, 2000

Attorney Docket No.: EMC2-048PUS

The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 500845.

Respectfully submitted,

DALY, CROWLEY & MOFFORD, LLP

By:\_

Richard M. Sharkansky

Reg. No. 25,800

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Dated

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